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PTO/SB/21 (6-98)
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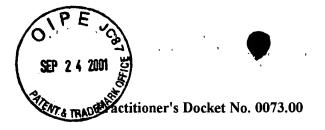
valid OMB control number.				
		Application Number	09/851,226	
TRANSMITTAL FORM		Filing Date	May 8, 2001	
		First Named Inventor	Jeffry G. Weers	
(to be used for all correspondence after initial filing)		Group Art Unit		
		Examiner Name	Unassigned	
Total Number of Pages in This Submission		Attorney Docket Number	0073.00	
ENCLOSURES (check all that apply)				
Fee Transmittal Form	Assignm	nent Papers	After Allowance Communication to Group	
Fee Attached	Drawing		Appeal Communication to Board of Appeals and Interferences	
Amendment / Response	Licensing-related Papers		Appeal Communication to Group	
After Final	Petition and Acc	Routing Slip (PTO/SB/69) companying Petition	Proprietary Information	
Affidavits/declaration(s)	1	to Convert to a nal Application	Status Letter	
Extension of Time Request	Power of	f Attorney, Revocation of Correspondence	Additional Enclosure(s) (please identify below):	
Express Abandonment Request	一	I Disclaimer	S Executed Declarations	
Information Disclosure Statement	H	ntity Statement t for Refund	Copy of Notice to File Missing Parts	
Certified Copy of Priority Document(s)  Remar			First Class Mail Certificate	
Response to Missing Parts/ Incomplete Application	-	J		
Response to Missing Parts under 37 CFR 1.52 or 1.53				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm or Individual name Michael J. Rafa;	Inhale The	rapeutic Systems, Inc.		
Signature Mile 1 Mes				
Date 9/19/01				
CERTIFICATE OF MAILING				
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 09/19/2001				
Typed or printed name Karen J. Moir				

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9/19/2001

Date

Signature



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: WEERS, Jeffry G., TARARA, Thomas E.; DELLAMARY, Luis A., RIESS, Jean

G.; and SCHUTT, Ernest G.

Application No.: 09/851,226 Filed: 05/08/2001

Group No.: Unassigned Examiner: Unassigned

For: PHOSPHOLIPID-BASED POWDERS FOR INHALATION

Assistant Commissioner for Patents Washington, D.C. 20231

## CERTIFICATE OF MAILING UNDER 37 C.F.R. section 1.8(a)

I hereby certify that the attached correspondence comprising:

Transmittal Form; Completion of Filing Requirements Form; Copy of Notice to File Missing Parts of Nonprovisional Application; Declarations; First Class Mail Certificate.

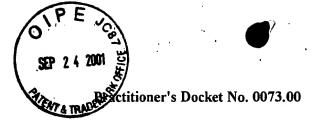
is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

on Sep. 19, 2001.

Karen J. Moir

Signature of person mailing paper



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: WEERS, Jeffry G.; TARARA, Thomas E.; DELLAMARY, Luis A.; RIESS, Jean

G.; and SCHUTT, Ernest G.

Application No.: 09/851,226

Group No.: Unassigned

Filed: May 8, 2001

Examiner: Unassigned For: PHOSPHOLIPID-BASED POWDERS FOR INHALATION

**Box Missing Part Assistant Commissioner for Patents** Washington, D.C. 20231

# **COMPLETION OF FILING REQUIREMENTS** -- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed July 2, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

#### **DECLARATION OR OATH**

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

### **COMPLETION FEES**

III.

1. Surcharge Fees

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))

\$ 130.00

**Total Completion Fees** 

\$ 130.00

# **EXTENSION OF TIME**

IV. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant petitions for an extension of time, the other than small entity fee for which is set out in 37 C.F.R. Section 1.17(a)(1), for one month.

Fee

\$110.00

If an additional extension of time is required, please consider this a petition therefor.

#### TOTAL FEE DUE

#### V. The total fee due is:

Completion fees	\$ 130.00
Extension fee (if any)	\$ 110.00
Total Fee Due	\$ 240.00

#### **PAYMENT OF FEES**

VI. Charge Account No. 500348 in the amount of \$240.00.

A duplicate of this request is attached.

Please charge Account No. 500348 for any fees that may be due by this paper.

## AUTHORIZATION TO CHARGE ADDITIONAL FEES

VII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 500348.

37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))

Michael J. Rafa

Registration No. 38,740

Inhale Therapeutic Systems, Inc.

150 Industrial Road

San Carlos, CA 94070

650-631-3100

Customer No. 21968



# Addendum

Attachment 1 Half Moon Bay

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# United States Patent and Trademark Office

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

APPLICATION NUMBER

FILING/RECEIPT DATE

I CC C IV

0073.00

09/851,226

05/08/2001

Jeffry G. Weers

0073.00

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INHALE THERAPEUTIC SYSTEMS 150 INDUSTRIAL ROAD SAN CARLOS, CA 94070



CONFIRMATION NO. 4017
FORMALITIES LETTER

\*OC0000000006246549\*

Date Mailed: 07/02/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

**Customer Service Center** 

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/29/2001 SSALEEKU 00000009 500348 09851226

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